

37/540,978 2nd  
6-26-96

## پیشگیری از ابتلاء

AXNN C17 (City jacket)  
(55 Modulator)

7 miles west

~~Presented~~  
Author: HBS, Pigatti  
name  
CIP of MotherPat

AO29747

## EXHIBIT



CORPORATION

101 West Robert E. Lee Boulevard • Suite 202 • New Orleans, Louisiana 70124 • (504) 282-8119 • FAX 282-0999

November 2, 1992

Anthony Natoli  
David B. Newman & Assoc.

Via Fax: (301) 934-5782

RE: Patent Application  
"Wireless Alarm"  
Serial Number 07/540,978

Dear Anthony:

I am in receipt of your fax of earlier today. As I discussed with you, I have no record in my files suggesting that a patent application had ever been filed for the above referenced serial number.

Due to an urgent situation that has surfaced dealing with one of the inventors, I am requesting that you send me a copy of everything that you have regarding that particular serial number. Please overnight this information to my attention ASAP. If it would be easier for you to charge Axonn's Federal Express account number, please let me know.

Also, Dave had a conversation with Steve Fant, Axonn's Vice President, last week and mentioned something about being able to file documents for an "Unintentional Abandonment". Please let me know if this is an option for the above referenced matter.

As always, thanks for your help.

Sincerely,

Erin C. Pierce  
Office Manager

AO29748

\*\*\*\*\* - JOURNAL - \*\*\*\*\* DATE NOV-02-1992 \*\*\*\*\* TIME 16:49 \*\*\*\*\*

DATE/TIME = NOV-02 16:48

JOURNAL NO. = 01

COMM. RESULT = OK

PAGES = 01

DURATION = 00:00'37"

MODE = XMT

STATION NAME =

TELEPHONE NO. = T 1028813019345782

RECEIVED ID = 1 301 934 5782

RESOLUTION = STANDARD

AO29749

-504 282 0999 FAX

504 282 0999- \*\*\*\*\*

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DAVID NEWMAN & ASSOCIATES PC  
ATTORNEYS AT LAWCENTENNIAL SQUARE  
P. O. Box 2728  
LA PLATA, MARYLAND 20646  
(301) 934-6100FACSIMILE  
(301) 934-5782WASHINGTON, DC  
(202) 842-8400BALTIMORE, MD  
(410) 752-1111

November 2, 1992

VIA FACSIMILE

Ms. Erin Pierce  
Office Manager  
AXONN Corporation  
101 West Robert E. Lee Boulevard  
Suite 202  
New Orleans, Louisiana 70124

Re: Patent Application Files

Dear Erin:

As per our conversation today, enclosed is a copy of a Notice to File Missing Parts, mailed July 10, 1990 from the United States Patent and Trademark Office, for serial no. 07/540,978. This is the last item in our file for serial no. 07/540,978.

I prematurely gave my opinion, based on our incomplete file for this case, that this case went abandoned. According to David, Axonn Corporation took over a number of pending patent cases some time ago, so our office has no further records for any cases which Axonn Corporation took over. Therefore, the enclosed Notice of Missing Parts only provides the case information, and also that a response and fees to the U.S. Patent and Trademark Office for this case was due before September 10, 1990. I can offer no further information.

Regretfully, for all of Axonn Corporation's patent activities, I can only suggest that application serial numbers, application filing dates, attorney docket numbers, and patent numbers and patent issue dates on the various documents and letters be used to straighten out your files. Also, it is best to refrain from using the titles and names of inventors of the inventions or patent applications, as the titles and names of inventors are too indefinite to identify a specific patent

AO29750

## DAVID NEWMAN &amp; ASSOCIATES PC

Ms. Erin Pierce  
November 2, 1992  
Page 2

application.

With warm regards, I remain

Sincerely,

DAVID NEWMAN & ASSOCIATES, P.C.

By: *Anthony J. Natoli*

Anthony J. Natoli  
Patent Agent

AJN

Enclosure

ICN: CORRESPOND\AXNN900.39C-AJN11/02

AO29751



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Serial Number	Filing Date	First Named Applicant	Atty. Docket No.
07/540,978	06/20/90	ARTHUR	J AXNN-017

DAVID B. NEWMAN, JR.  
CENTENNIAL SQUARE  
P.O. BOX 2728  
LA PLATA, MD 20646

000

DATE MAILED:

07/10/90

**NOTICE TO FILE MISSING PARTS OF APPLICATION—  
NO FILING DATE**

(Attachment to Form PTO-1123)

In order to avoid payment by applicant of the surcharge required if items 1 and 3-6 are filed after the filing date the following items are also brought to applicant's attention at this time.

If all missing parts of this form and on the "Notice of Incomplete Application" are filed together, the total amount owed by applicant as a  large entity  small entity (verified statement filed) is \$ 310.00

The statutory basic filing fee is:  missing  insufficient. Applicant as a  large entity  small entity must submit \$ 3.20 to complete the basic filing fee and MUST ALSO SUBMIT THE SURCHARGE, IF REQUIRED, AS INDICATED BELOW.

2.  Additional claim fees of        as a  large entity,  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. NO SURCHARGE IS REQUIRED FOR THIS ITEM.

3.  The oath or declaration:

is missing.

does not cover items required on the "Notice of Incomplete Application".

An oath or declaration in compliance with 37 CFR 1.63, referring to the above Serial Number and Receipt Date is required. A SURCHARGE, IF REQUIRED, MUST ALSO BE SUBMITTED AS INDICATED BELOW.

4.  The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Receipt Date is required. A SURCHARGE, IF REQUIRED, MUST ALSO BE SUBMITTED AS INDICATED BELOW.

5.  The signature to the oath or declaration is:  missing  a reproduction;  by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Serial Number and Receipt Date is required. A SURCHARGE, MUST ALSO BE SUBMITTED AS INDICATED BELOW.

6.  The signature of the following joint inventor(s) is missing from the oath or declaration: \_\_\_\_\_ Applicant(s) should provide, if possible, an oath or declaration signed by the omitted inventor(s), identifying this application by the above Serial Number and Receipt Date. A SURCHARGE, IF REQUIRED, MUST ALSO BE SUBMITTED AS INDICATED BELOW.

7.  A \$20.00 processing fee is required for returned checks. (37 CFR 1.31(m)).

8.  Other:

Required items 1-7 above SHOULD be filed. If possible, with any items required on the "Notice of Incomplete Application" enclosed with this form. If concurrent filing of all required items is not possible, items 1-7 above must be filed no later than two months from the filing date of this application. The filing date will be the date of receipt of the items required on the "Notice of Incomplete Application." If items 1 and 3-6 above are submitted after the filing date, THE PAYMENT OF A SURCHARGE OF \$110.00 for large entities, or \$85.00 for small entities who have filed a verified statement claiming such status, is required. (37 CFR 1.16(e)).

Applicant must file all the required items 1-7 indicated above within two months from any filing date granted to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to the undersigned, Attention: Application Branch.

**A copy of this notice MUST be returned with response.**

*Arthur B. Newman*  
For: Manager, Application Branch  
(703) 557-3254

FORM PTO-1123 (REV. 7-87)

ATTORNEY'S/APPLICANT'S COPY

For Office Use Only	
<input type="checkbox"/> 102	<input type="checkbox"/> 202
<input type="checkbox"/> 103	<input type="checkbox"/> 203
<input type="checkbox"/> 104	<input type="checkbox"/> 204
<input type="checkbox"/> 105	<input type="checkbox"/> 205

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